

### **3. Appendix**

**Questionnaire Version. 1**  
**(Questions for LAO President of Municipality**  
**and TAO)**

**Questionnaire Version. 2**  
**(Questions for Deputy Provincial Governor**  
**and LAO Clerks )**

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# Questionnaire Version I

## (for LAO president of Municipality and TAO)

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(for President)

Name of Respondent.....
Position ..... District (Amphoe).....
Province .....
Age ( as of April 1 <sup>st</sup> , 2006).....
Date of Response .....

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### 1. The activities and projects of the LAO

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Note: In this questionnaire, LAO means, as appropriate, the City (thesaban) and TAO (Tambon Administration Organization) and Patthaya city.

#### QN1 Classification of this LAO

1. Municipality (Thesaban) 1) Sub-district municipality  
(Thesaban Tambon)  
2) Town municipality (Thesaban Muang)  
3) City municipality (Thesaban Nakhon)
2. Tambon Administration Organization (TAO)  
Size 1) Small 2) Medium 3) Large  
Number of villages .....villages
3. Phatthaya City

**QN1.2 When your LAO thinks of embarking on new projects, from where and from whom does it obtain ideas?** Please select the three most important sources from the list below, and rank them by writing 1, 2 or 3 in the space provided.

- Importance ..... a) Other LAO  
Importance ..... b) President himself  
Importance ..... c) Local Council Members  
Importance ..... d) Residents or people groups  
Importance ..... e) Provincial Governor, District Chief Officer or other officials  
from the Ministries.  
Importance ..... f) Academics (Researchers, NGOs)  
Importance ..... g) Other

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### 2. Laws and problems of decentralization

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**QN2.1 In your view, how important are the following problems of work (function) transfer in the case of your LAO ? (please answer every item)**

Matters	very	not very	of no	
	important	important	important	importance
	(4)	(3)	(2)	(1)
1. The Central government gives the LAO no notice of impending work transfers.				
2. Work transfers to the LAO occur at random times, causing confusion.				
3. Only the works are transferred, while necessary personnel and budgets are not allocated.				
4. It is not clear to whether or not related laws have been amended to allow the transferred work to go ahead.				
5. There are too many related laws that cause confusion.				
6. For some of the transferred works, duplication of responsibility between the Central Government and the LAO remains a problem				
7. Other (please specify.....)				
8. Other .....				

**QN2.2 As regards the works already transferred to the LAO, are there any works that this LAO cannot perform as well as the Government expects?**

- 1) Yes, there are.  
 these are 1. ....because.....  
 2. ....because.....  
 3. ....because.....
- 2) No, there is no work that the LAO cannot perform well.

**QN2.3 What kind of work transfer would your LAO prefer to take responsibility for ?**

Works	Work you want to be transferred			Not the type of work appropriate for this LAO (4)
	Want the work transfer (1)	Do not want the work transfer (2)	Not sure(3)	
1. Schools under jurisdiction of the Ministry of Education				
2. Public health centers				
2. Community hospitals or general hospitals				
4. Other (please specify).....				
5. Other (please specify).....				
6. Other (please specify).....				

**QN2.4 If you want some schools to be transferred to the LAO as work, which levels of school would you like to run? (in answering, there is no need to take into account the regulations laid down by Ministry of Education) (you can choose more than one item)**

1. Nursery (Child development center)
2. Kindergarten
3. Primary
4. Lower Secondary
5. Upper secondary
6. Vocational education
7. University

**Please briefly explain your choice of levels .....**

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### **3. Role of the LAO in environmental issues**

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**QN3.1 Has your LAO ever received any claims concerning environmental issues from the residents?**

1. Yes, we have.
2. No, we haven't received such claims. (Please move to QN3.3)

**QN3.2 If you have received any claims concerning the environment, what kind of problems have the claims referred to? (you may select more than one item)**

1. Odors, waste water, air pollution from residents
2. Odors, waste water, air pollution from factories
3. Garbage from residents
4. Waste from factories or organizations
5. Other (please specify).....

**QN3.3 Were you able to solve problems arising from odors, waste water, and air pollution caused by residents?**

1. Yes, we were able to do so.
- 2.No, we were unable to do so.
3. No such problems arose.

**QN3.4 Were you able to solve problems arising from odors, waste water, and air pollution caused by factories?**

1. The LAO could solve the problem(s) by itself.
2. The LAO could solve the problem(s) by contacting other agencies in charge.
3. The LAO could not solve the problem(s) by itself.
4. No such problems have arisen (Please move to QN 3.6)

**QN3.5 To solve the environmental problems caused by factories, which office or personnel did you contact? (you may choose one or more answers)**

1. Provincial Governor / District Chief Officer
2. Department of Industrial Works
3. Pollution Control Department
4. Kamnan(Sub District Head)/ Village headman
5. Members of Parliament in your constituency

- 6. Intellectuals/Research Institute/ University
- 7. Other (Please specify).....

**QN3.6 Were you able to solve the problems of garbage caused by residents?**

- 1. Yes, we were able to do so.
- 2. No, we were unable to do so.
- 3. No such problems arose.

**QN3.7 Were you able to solve the problem(s) of waste caused by factories ?**

- 1. The LAO could solve the problem(s) by itself.
- 2. The LAO could solve the problem(s) by contacting other agencies in charge.
- 3. The LAO was unable to solve the problem by itself.
- 4. No such problems have arisen.( Please move to QN 3.9)

**QN3.8 To solve waste problem(s) caused by factories, which office or personnel did you contact? (you may choose one or more answers)**

- 1. Provincial Governor / District Chief Officer
- 2. Department of Industrial works
- 3. Pollution Control Department
- 4. Kamnan(Sub District Head)/ Village headman
- 5. Members of Parliament in your constituency
- 6. Intellectuals/Research Institute/ University
- 7. Other (Please specify).....

**QN3.9 In the process of enforcing the LAO's environmental project(s), did you provide an opportunity for residents to take part in any of the projects?**

- 1. No, I did not.
- 2. Yes, I did. (please specify the name of the project ) .....

**QN3.10 To find solutions of environmental problems that meet residents needs, which of the following is best placed to solve the problems? ( please choose only one answer)**

- 1. LAO
- 2. Kamnan/ Village headman (or community leader)
- 3. Both LAO and Kamnan/ Village headman (or community leader)
- 4. Community leader who is not Kamnan/ Village headman
- 5. Other (Please specify).....

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**4. Direct election of LAO President (or administrator)**

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**QN4.1 How were you elected President?**

- 1. I was selected among the council members (indirect election)
- 2. I was directly elected

**QN4.2 Do you have it in mind to stand again as a candidate in the next LAO Presidential election?**

1. Yes (Please explain why).....
2. No (Please explain why).....

**QN4.3 Since the introduction of direct elections for LAO President, have any of the following changes become apparent? (Please answer every item listed in the table.).**

Matters	Degree of changes		
	more than before (3)	same as before (2)	less than before (1)
1. Decisions can be made more easily and more quickly			
2. I can manage personnel matters more smoothly and with more freedom of action			
3. Passage of the local council budget ordinances has become easier			
4. Checks from the local councils have become stricter			
5. The competition for the post of chairman of the local council has intensified			
6. People show more interest in the LAO budget.			
7. People are more conscious of their rights and duties			
8. Other (Please specify) .....			

**QN4.4 In your view, how much effect do the following factors have when it comes to winning the LAO Presidential Election? (Please answer every question)**

Factors	much effect (4)	little effect (3)	no effect (2)	not sure (1)
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- a) Policies of the candidate
- b) People's perceptions of the personality of the candidate
- c) Team work of the executive members
- d) System of election Canvassers
- e) Support from national-level politicians (MP and Senators)
- f) Budget support from the political parties
- g) Policy support from the political parties
- h) Other (Please specify) .....

**QN4.5 Over the past 2 years, how often were you called upon to attend official meetings with the following persons? (please answer every question)**

Type of official calling you to the meeting	Frequency of such meetings				
	Several times a month (5)	Once a month (4)	Once in 2-3 months (3)	Once or twice a year (2)	No call for meetings (1)
1. Provincial Governor or Vice Governor or Deputy Governor					
2. PAO President					
3. Other LAO President					
4. District Chief Officer or Assistant District Chief Officer					
5. Other (please specify.....)					

**QN4.6 Do any public officials come to visit the LAO office for consultation on LAO activities? (Please answer every question)**

Visitor	Frequencies of visit				
	Several times a month (5)	Once a month (4)	Once in 2-3 months (3)	Once or twice a year (2)	No call for meetings (1)
1. Teachers / Professors from schools					
2. Public Health Officials					
3. PAO President					
4. PAO Clerk					
5. President of other LAOs					
6. Clerk of other LAOs					
7. Kamnan, Village Headman					
8. Officials from Department of Local Administration					
9. Chief district officer or assistant chief district officers					
10. Provincial Governor(Vice Governor) /Deputy Governor					
11. Local and central government officials from other departments who come to visit you several times a month (Please specify ..... )					
12. Officials from other organizations who come to visit you several times a month (Please specify ..... )					

**QN4.7 In the past two years, has your LAO ever given budgetary support to any of the following officials? (Please answer every question)**

**a) Teachers from schools**

- 1) Yes In the case of.....
- 2) No, because.....

**b) Public health officials**

- 1) Yes In the case of.....
- 2) No, because.....

**c) District chief officer or assistant chief district officers**

- 1) Yes In the case of.....
- 2) No, because.....

**d) Provincial Governor / Vice Governor/ other high executives of the province**

- 1) Yes In the case of.....
- 2) No, because.....

**e) Other public offices to which the LAO has given help**

Please enter the names of the offices).....  
Reason for giving support in budget).....

**e) Other public offices to which the LAO has decided not to give help**

Please enter the names of the offices).....  
Reason for not giving support in budget).....

**QN4.8 In your view, has the introduction of the administration system of the CEO governor had any effects on the working of this LAO ?**

- 1. Has had effects (please specify).....
- 2. Has had no effect
- 3. Not sure

**5. Allocation of budget to LAO from the Central Government**

**QN5.1 Do you think the amount of the budget allocated to LAO from the central government is sufficient?**

- 1. Sufficient (Please move to QN5.3)
- 2. Not sufficient (Please answer QN5.2)

**QN5.2 If the amount of budget allocated is not sufficient, which of the following works needs more budgetary support? (please choose only two items )**

- 1. Promotion of vocational employment
- 2. Infrastructure development
- 3. Agriculture
- 4. Environment
- 5. Social welfare
- 6. Education
- 7. Community-related matters
- 8. Other (Please specify).....

**QN5.3 Over the past two years, when your LAO was in need of greater budgetary support, from whom did you ask for help when you tried to obtain the extra**

**funding that was needed (in addition to the normal procedure of forwarding a budget application form to the District office and the Provincial office) ?  
 ( you may answer one or more items from the following list)**

< Asking support from >	< Results >	
	Got support for budget (1)	no support for budget (2)
1) Members of the Provincial Council (So.Jo.)		
2) The PAO President		
3) Members of Parliament (So.So.) representing the province		
4) Influential politician belonging to the government party		
5) Minister of a related ministry		
6) Provincial Governor		
7) Director General of Department/Chief of Section		
8) Budget Bureau (Pho O)		
9) Other (Please specify).....		

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## Questionnaire Version II (for LAO Clerks)

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(for Clerk)

Name of Respondent.....
Position ..... District (Amphoe).....
Province .....
Age ( as of April 1 <sup>st</sup> , 2006).....
Date of Response .....

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### 1. Data of Clerk

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**QP 1.1** How long have you been working as an LAO Clerk ? ..... years ..... months

**QP1.2** How long have you been working in this LAO ? .....years .....months

**QP1.3** before working for the LAO, what kind of occupation were you engaged in? (Please choose the occupation that you followed for the longest period )

1. Just finished education
2. Assistant Chief District Officer
3. Police or Military Officer
4. Other Civil Government Officer
5. Private employee/ Own Account Business
6. Other (Please specify).....

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### 2. Bio Data of LAO (Local Administration Organization)

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**QP2.1** Classification of this LAO

1. Provincial Administration Organization (PAO)
2. Municipality (Thesaban)
  - 1) Sub-district municipality (Thesaban Tambon)
  - 2) Town municipality (Thesaban Muang)
  - 3) City municipality (Thesaban Nakhon)
3. Tambon Administration Organization (TAO)  
Size
  - 1) Small
  - 2) Medium
  - 3) Large  
Number of villages .....villages

**QP2.2** What is the population of this LAO? (Please use data from the Population Registration Record “Thabian Rasadorn” for September 30, 2005)

Male population .....persons  
Female population.....persons  
Estimate of unregistered population..... persons ( if date exists)

**QP2.3 Number of households registered in this LAO.....households**  
 Please use data from the Population Registration Record “Thabian Rasadorn” of September 30, 2005)

**QP2.4 How far is this LAO office from the Provincial Hall ?**  
 .....kilometers  
**How far is this LAO office from the District Office?**  
 .....kilometers (Data on Sept. 30, 2005)

**QP2.5 Does this LAO have data on the occupations of the population? (from the latest survey data of “Jo Po. Tho จปฐ.” )**

- |  |                            |
|--|----------------------------|
| 1. Yes, it has data, in which case please complete the following:- | 2. No, it has no such data |
| 1) Agriculture .....persons  |                            |
| 2) Government official or government employee .....persons         |                            |
| 3) Business owner or self employed .....persons                    |                            |
| 4) Private employee .....persons                                   |                            |
| 5) Own account worker .....persons                                 |                            |
| 6) Other .....persons  |                            |

**QP2.6 All types of revenue of this LAO for the three fiscal years, 2003 to 2005 inclusive**

Fiscal Year	Revenue collected by LAO (Baht)	Shared tax allocated by the Central Government (Baht)	Subsidies received (Baht)	Total income (Baht)
2003(2546)				
2004(2547)				
2005(2548)				

**Please specify the types of the Subsidies:**

Fiscal Year	General Subsidy with conditions (Baht)	General Subsidy without conditions (Baht)	Subsidy for Special Project (Baht)
2004(2547)			
2005(2548)			

**Other types of revenue, fiscal years 2004 and 2005**

Fiscal Year	Budget from CEO Provincial Governor project (Baht)	Budget from PAO(only for Thesaban and TAO) (Baht)	Other kinds of budget (Baht)
2004(2547)			
2005(2548)			

**QP2.7 During the past three years, have any newly established sections or positions been created in this LAO ?**

1. No, no newly established sections or positions have been created.
2. Yes, newly established sections or positions have been created.  
(please specify the names of the relevant sections or positions)
  - 1.....
  - 2.....
  - 3.....

**QP2.8 In the area of this LAO, do the following facilities exist? If so, how many ?**

1. Schools ..... (number of schools)
2. Industrial factories ..... (number of factories)
3. Banks ..... (number of banks)
4. Shopping malls or Department stores..... (number of stores)
5. Waste disposal (treatment) plant ..... (number of sites)
6. Waste water treatment plant ..... (number of sites)

**QP2.9 In the past three years, has this LAO received any prizes?**

1. No, it has not received a prize
2. Yes, it has received one or more prizes:-
  - Name of the Prize ..... awarded by.....
  - Name of the Prize ..... awarded by .....
  - Name of the Prize ..... awarded by .....

**QP2.10 In the past five years, have any of the following changes occurred in this LAO?**

1. Removal of President by Recall
  - 1) Recall movement from residents
  - 2) Recall by the order of the Provincial Governor or from the Interior Minister
  - 3) Other (please specify) .....
 Reason for recall .....
2. Resignation of President  
Reason for resignation.....
3. Dissolution of the Local Council  
Reason for dissolution.....
4. Other (please specify).....

**3. Bio Data of the LAO President**

**QP3.1 Please list the names of the Presidents of this LAO who have been elected by direct election during the past 10 years and the duration of their terms of office.**

	Name	Duration working as President of LAO	
		Date of assuming the post	Date of resignation
1			
2			
3			

**QP3.2 How old is the present President of this LAO?**

.....years old (as of April 1, 2006)

**QP3.3 What is the educational background of the President?**

- |                          |                      |                                  |
|--------------------------|----------------------|----------------------------------|
| 1. Primary Education     | 2. Lower Secondary   | 3. Upper Secondary               |
| 4. Diploma or equivalent | 5. Bachelor's degree | 6. Higher than Bachelor's degree |

**QP3.4 Has your present President ever held any of the following political posts?**

(you can choose more than one answer)

- |  |   |                               |
|--|---|-------------------------------|
| 1. Minister  | 2. Member of Parliament                         | 3. Member of PAO Council      |
| 4. Member of Municipal (Thesaban) Council  | 5. Member of TAO Council                        | 6. Kamnan (Sub District Head) |
| 7. Village headman   | 8. Sarawad kamnan (Assistant Sub District Head) | 9. Village Committee member   |
| 10. Member of Community organization (e.g. housewife groups, farmers groups, sports groups etc.) |   |                               |
| 11. Other (please specify).....  |   |                               |

**QP3.5 Before assuming the post of President, what kind of occupation was your LAO President engaged in ? (Please make only one choice by selecting the basic occupation engaged in for the longest period.)**

- |                                |                               |                                    |
|--------------------------------|-------------------------------|------------------------------------|
| 1. Business owner              | 2. Private employee           | 3. Agriculture                     |
| 4. Teacher, Professor          | 5. Police or military officer | 6. Other Civil Government official |
| 7. Other (please specify.....) | 8. No occupation              |                                    |

**QP3.6 What is the educational background of the former President of this LAO?**

- |                          |                      |                                  |
|--------------------------|----------------------|----------------------------------|
| 1. Primary Education     | 2. Lower Secondary   | 3. Upper Secondary               |
| 4. Diploma or equivalent | 5. Bachelor's degree | 6. Higher than Bachelor's degree |

**QP3.7 Did your former President ever hold any of the following political posts?**

(you can choose more than one answer)

- |   |   |                                |
|---|---|--------------------------------|
| 1. Minister   | 2. Member of Parliament                           | 3. Member of PAO Council       |
| 4. Member of Municipal (Thesaban) Council   | 5. Member of TAO Council                          | 6. Kamnan (Sub District Head ) |
| 7. Village headman  | 8. Assistant Kamnan (Assistant Sub district Head) | 9. Village Committee member    |
| 10. Member of Community Organization (e.g. housewife groups, farmers' groups, sports groups etc.) |   |                                |
| 11. Other (please specify).....   |   |                                |

**QP3.8 What was the occupation of the former LAO President, before becoming President? (Please make only one choice by selecting the basic occupation engaged in for the longest period.)**

- |                                |                               |                                    |
|--------------------------------|-------------------------------|------------------------------------|
| 1. Business owner              | 2. Private Employee           | 3. Agriculture                     |
| 4. Teacher, Professor          | 5. Police or Military officer | 6. Other Civil Government official |
| 7. Other (please specify)..... |                               | 8. No occupation                   |

**QP3.9 Was the former President of the LAO a) directly elected, or b) selected among the council members (indirect election)?**

1. Direct election (Please answer 3.9-1)
2. Selected among the local council members (Please answer 3.9-2)

**→ QP3.9-1 Data for the most recent direct election**

- Date of election:      Month ..... Day ..... Year.....
- How many candidates were there? .....persons
- How many eligible voters took part in the most recent election for LAO President? .....persons
- How many residents (voters) voted in the most recent election for LAO President? .....persons
- Number of votes for the winner who got the first most votes..... votes
- Number of votes for the winner who got the second most votes .....votes

**→ QP3.9-2 Data for the selection among the local council members in this LAO ( no need to add the data for the election re-run )**

- Date    Month ..... Day..... Year.....
- How many council members had the right to vote for LAO President selection last time? .....persons
- How many council members voted in the LAO President election last time? .....persons

**QP3.10 Since introducing the direct election of the LAO President, have any of the following changes become apparent ? (If your LAO President was selected by indirect election, please do not answer this question)**

Matters	Degree of change			not sure (1)
	more than before (4)	same as before (3)	less than before(2)	
1. People have come more often to contact the LAO office	,	,	,	,
2. Officials from other government agencies have come to contact the LAO more often.	,	,	,	,
3. The LAO's power of negotiation with the central and/or local administration has strengthened.	,	,	,	,
4. Team work of the executive members of LAO has improved.	,	,	,	,
5. In the LAO President election campaign, more emphasis was put on policy matters.	,	,	,	,
6. The dependence on Canvassers ("Hua khanen") of LAO election has increased.	,	,	,	,
7. Since the election, the LAO has experienced an increase in support from national level politicians (such as Senators, Members of Parliament).	,	,	,	,
8. So far as the LAO budget is concerned, there has been an increase in support from the political parties.	,	,	,	,
9. So far as policy is concerned, there has been an increase in support from the political parties.	,	,	,	,
10. Other changes (please specify).....				

#### 4. Activities and projects of LAO

**QP4.1 Since October 2003, have any ordinances raised by the LAO council taken effect in this LAO? (e.g. "Kho bannyat PAO" (PAO ordinance), "Thesabanyat" (Municipal ordinance) "Kho bannyat TAO"(TAO ordinance). Do not include the budget ordinance.)**

1. Some ordinances that have taken effect.    Number of ordinances .....
2. No ordinances have taken effect so far.

**If any ordinances have been raised by the LAO, please list the names of the ordinances and their authors (e.g. President, Clerk, Member of the local council etc.,**

**If there have been more than five authors, please select the five most important ones.)**

- 1.....  
Author .....

2.....

Author.....

3.....

Author.....

**QP4.2 What is your opinion of the significance of the development planning of the LAO? Do you think the development plan has any meaning beyond budget planning ?**

1. It means more than just budget planning.
2. It has no meaning other than for budget planning.

**If you have answered 1, what do you think is the importance of development planning?** (you can choose more than one answer):-

1. It helps to build an understanding among the residents concerning the LAO's vision of local development.
2. It helps to create useful knowledge among local officials.
3. It helps to let the central government know the problems of local areas.
4. Other (please specify).....

**QP4.3 Do people come to contact the LAO office for any of the following matters (Please answer every question)**

**Coming (1)      Not coming(2)**

1. Infrastructure repair
2. Environmental problems
3. Law and order in the community
4. Handling social welfare issues
5. Public health and hygiene
6. Personal disputes
7. Other(please specify).....

**5. Relations between LAOs and Central and Local Administrations**

**QP 5.1 Over the past 2 years, how often were you called upon to attend official meetings with the following persons? (please answer every question)**

Type of official calling you to the meeting	Frequency of such meetings				
	Several times a month (5)	Once a month (4)	Once in 2-3 months (3)	Once or twice a year (2)	No call for meetings (1)
1. Provincial Governor or Vice Governor or Deputy Governor					
2. PAO President					
3. Other LAO President					
4. District Chief Officer or Assistant District Chief Officer					
5. Other (please specify.....)					

**QP 5.2 Do any public officials come to visit the LAO office for consultation on LAO activities? (Please answer every question)**

Visitor	Frequencies of visit				
	Several times a month (5)	Once a month (4)	Once in 2-3 months (3)	Once or twice a year (2)	No call for meetings (1)
1. Teachers / Professors from schools	.	.	.	.	.
2. Public Health Officials	.	.	.	.	.
3. PAO President	.	.	.	.	.
4. PAO Clerk	.	.	.	.	.
5. President of other LAOs	.	.	.	.	.
6. Clerk of other LAOs	.	.	.	.	.
7. Kamnan, Village Headman	.	.	.	.	.
8. Officials from Department of Local Administration	.	.	.	.	.
9. Chief district officer or assistant chief district officers	.	.	.	.	.
10. Provincial Governor(Vice Governor) /deputy governor	.	.	.	.	.
11. Local and central government officials from other departments who come to visit you several times a month (Please specify ..... )					
12. Officials from other organizations who come to visit you several times a month (Please specify ..... )					

**QP5.3 In your view, what kind of LAO activity must depend on knowledge provided from central government? (“central government” means the Ministries and Departments belonging to the Central Administration)**

- |   |             |                 |
|---|-------------|-----------------|
| Knowledge on                              | in need (1) | not in need (2) |
| 1. Infrastructure                         |             |                 |
| 2. City planning                          |             |                 |
| 3. Tax collection                         |             |                 |
| 4. Waterworks                             |             |                 |
| 5. Public Health                          |             |                 |
| 6. Waste water treatment                  |             |                 |
| 7. Interpretation of laws and regulations |             |                 |
| 8. Other (please specify).....            |             |                 |

Please select the above number according to the importance 1 to 3.

- Most dependent on central government knowledge** .....
- Second most dependent on central government knowledge.....
- Third most dependent on central government knowledge.....

**QP5.4 In your view, has the introduction of the administration system of the CEO governor had any effects on the working of this LAO.**

1. Has had effects (please specify) .....
2. Has had no effect
3. Not sure

**QP5.5 Do you think that in the future, you might stand as a candidate in an LAO Presidential election?**

1. Yes (Please specify the reason why).....
2. No

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