

Local Government Survey in Southeast Asia 2012-2013

Purpose

In this part of the report, major profiles of the survey is summarized and some of the comparative questions in the survey are compiled together.

Profile of the Survey:

Investigators: Fumio Nagai (Osaka City University): Principal Researcher
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Data Types: Survey data

Unit of Observation : Local Government Unit in each country

Indonesia : Interviewing District Secretary (Sekda)
Although we tried interviews with Mayor and local head,
the samples could not be collected.

Philippines : Interviewing Mayors of Municipality / City
Interviewing MPDO

Thailand : Interviewing Mayors /TAO President
Interviewing Principal Clerk (Palad)

Universe : 112 Cities and Districts in Java (Indonesia),
1515 Cities and municipalities excluding the Mindanao area (Philippines)
All the Tambon Administrative Organizations and Cities =Thesaban
excluding Bangkok, Phathaya and the Southern area (Thailand)

Date of Collection :

Indonesia: November 2011 to February 2012

Philippines: October 2011 to April 2012 (Philippines)

Thailand: First Phase: January to March 2013,

Second Phase: May to August 2014

Funding : The survey was supported by the fund of JSPS KAKENHI Grant Number 21252003.

(Principal Researcher: Fumio Nagai).

Common Subject Terms:

The survey in the three ASEAN countries was primarily designed to solicit information on capabilities of local government, on the matters related to governance such as participation of local residents, relations with central agencies, networking, lobbying etc. In order to search for the above contents, this survey contains the questions such as

- ① resources and budget of the local government unit,
- ② capability of local officials,
- ③ educational career and backgrounds of Presidents, Mayors and Regional Secretariat/clerk
- ④ participatory processes of residents in raising new plans and ideas
- ⑤ network with local actors, with the central agencies and politicians and private sectors
- ⑥ behaviors of the local leader on several issues concerned with governance

In the following section of this report, our research team show the data which contains comparative questions and summarize the tendencies.

<Summary of the common subjects in the survey :>

In this summary, the characteristics and differences in each country observed from the survey results are briefly explained here.

In this survey, the research team focused on the types of local networks, the Central-Local relationship and the participation system in forming new development schemes in the course of decentralization in three countries of Southeast Asia (Indonesia, the Philippines, Thailand).

In observing the data in comparative perspective, we can find out that some of the structural

variables such as the size of local government units, socio-economic backgrounds (eg. urban- rural, city- municipality) and institutional relations with the central agencies give some effects in the results observed from the survey.

The case of Indonesia

It is often described in a comparative perspective, decentralization in Indonesia took place as a “Big Bang” type of decentralization, which means that the local government units were abruptly delegated and transferred a wide range of works and budget ()to deliver administrative services from the Central government in a relatively short period after 1999. In this context, the Central government has been trying hard to take a variety of measures to control and interfere with workings of local government units to avoid misuse, corruptions and uncontrolled situations in local society.

The controlling efforts on the side of the Central government give effects in the survey data of Indonesia. As shown in Table I-06 asking on with whom the Regent/Mayor consult on new project ideas, 63.1 % of regional secretaries put importance on the Central officials (Head of Beppeda), and 44% on the Head of the board.

It is noteworthy that the local councils (like DPRD District/ City) are also gaining importance in decision making processes, as 30% of regional secretaries answer that the local council members are consulted by Rethe on new project ideas. The roles of local councils as possible agents for residents to fulfill their demands are growing faster.

The case of the Philippines

In the case of the Philippines, the patron-client relationship and the local elites capture for generations are the major backgrounds for explaining the survey data of the Philippines.

It is also very distinctive in the Philippine Survey that Mayors of Municipality/City depend on networks based on their family and relative politicians (Table P-).

Mayors of Municipality/ City were originally given autonomy in administrative authority in the context of political “bossism” in this country. This autonomy has been reinforced in the field of other decision making processes since after 1991 Local government Code.

In Table P-0 asking on from whom to get a new idea of development projects, 56% of Mayors answer that they get the ideas by their own thinking and 30% from the Head of Barangays.

The case of Thailand

As a result of the analysis, we find that there is a differet tendency in Thailand from the results in Phillipines and in Indonesia. In contact with upper administrative bodies and parliamentarians, urban local governments tend to have more frequent contacts than rural ones in Thailand. we

conclude this result is derived from the difference of the size of basic local community. Thai local communities are smaller in scale than the other two countries, and it can be considered that the contribution of the personal network of the local head is higher in the network resource that the local government can use.

Theme I Behavior of Regents/ Mayors/Presidents of local government concerning project decision

●Indonesia

Table ○ Based on your observations, how does the **Regent/Mayor** determine the project locations? (Survey: IN_Q 16)

	Frequency	Ratio
1. The Regent/Mayor always forms a special team and never involves many parties	9	8.7
2. The Regent/Mayor very often forms a special team and rarely involves many parties	19	18.4
3. The Regent/Mayor very often involves many parties and rarely forms a special team	31	30.1
4. The Regent/Mayor always involves many parties and never forms a special team	40	38.8
No Answer	4	3.9

Figure 5. Based on your observation, what is done by the Regent/Mayor when there is a conflict of interests arose between the DPRD and the community regarding the location of a particular project? (Survey: IN_Q 17)

	Frequency	Ratio
1. The Regent/Mayor always chooses the project location according to the wishes of the DPRD members	1	1.0
2. The Regent/Mayor very often chooses the project location according to the wishes of the DPRD members	5	4.9
3. The Regent/Mayor very often chooses the project location according to the wishes of the community	62	60.2
4. The Regent/Mayor always chooses the project location according to the wishes of the community	32	31.1
No Answer	3	2.9

Figure 7. Based on your observations as Sekda, over the last year, when thinking of

ideas for a new program of development, did the **Regent/Mayor** always, often, rarely, or never discuss these ideas with the office or the following parties? (Upper : Frequency, Lower : Ratio) (Survey: IN_Q 18-29)

	Always	Often	Rarely	Never	No Answer
18. Regent's Representative/Mayor	41 39.8	43 41.7	10 9.7	7 6.8	2 1.9
19. Sekda	69 67.0	34 33.0	0 0.0	0 0.0	0 0.0
20. Head of Bappeda	65 63.1	37 35.9	1 1.0	0 0.0	0 0.0
21. Head of the Board	46 44.7	53 51.5	4 3.9	0 0.0	0 0.0
22. Member of DPR RI	2 1.9	16 15.5	62 60.2	20 19.4	3 2.9
23. Member of DPRD District/City Miss/Mr	31 30.1	48 46.6	19 18.4	3 2.9	2 1.9
24. Other Politicians (Organizer of Parpol, etc)	5 4.9	23 22.3	49 47.6	21 20.4	5 4.9
25. Persons that you can depend on personally (husband, wife, family, friends, etc)	8 7.8	22 21.4	26 25.2	39 37.9	8 7.8
26. Socially respected figures (religious figures, NGOs/Mass Organization activist, academician)	9 8.7	64 62.1	27 26.2	2 1.9	1 1.0
27. International bodies	1 1.0	14 13.6	51 49.5	30 29.1	7 6.8
28. Entrepreneur/Entrepreneurship associations (KADIN, GAPENSI, etc)	7 6.8	52 50.5	36 35.0	4 3.9	4 3.9
29. Others	3 2.9	9 8.7	5 4.9	4 3.9	82 79.6

Table 6. Throughout the time you have assisted the current Regent/Mayor, who has been **most influential** in determining the locations of roadways projects in the district/city? (Survey: IN_Q 74)

	Most Influential		Second Influential	
	度数	割合	度数	割合
1. Regent/Mayor	39	37.9	20	19.4
2. DPRD	2	1.9	23	22.3
3. BAPPEDA	11	10.7	17	16.5
4. Head of the related body	31	30.1	23	22.3

5. Entrepreneurial associations (KADIN, GAPENSI, etc.)	0	0.0	0	0.0
6. Social figures (religious figure, NGO/Mass organization activist, academics)	5	4.9	11	10.7
7. Others	14	13.6	6	5.8
No Answer	1	1.0	3	2.9

●Philippines

(1)Answer from Mayor

POLICY INPUT

Table 8. *When you think of embarking on new projects in the **environment sector**, from whom do you obtain ideas most often? (NOTE TO FI: ALLOW TWO (2) RESPONSES)*

(Survey: PL I_Q1-1)

	Frequency	Ratio
1. Yourself as Mayor	168	56.0
2. Sanggunian members	51	17.0
3. Punong Barangays	91	30.3
4. Business persons	12	4.0
5. NGO	37	12.3
6. Local PO	17	5.7
7. Officials from your LGU	91	30.3
8. Common local residents other than NGO & PO	68	22.7
9. Province	2	0.7
10. Central Government	10	3.3
11. Foreign countries, International agencies	1	0.3
12. Other governmental institutions	2	0.7
13. Professionals	8	2.7
14. Stakeholders	4	1.3
15. Media	2	0.7
16. Others	5	1.7

Figure 9. *When you think of embarking on new projects of **infrastructure**, from whom do you obtain ideas most often? (NOTE TO FI: ALLOW TWO (2) RESPONSES)*

(Survey: PL I_Q 1-2)

	Frequency	Ratio
1. Yourself as Mayor	178	59.3
2. Sanggunian members	50	16.7
3. Punong Barangays	108	36.0
4. Business persons	16	5.3
5. NGO	7	2.3

6. Local PO	6	2.0
7. Officials from your LGU	100	33.3
8. Common local residents other than NGO & PO	65	21.7
9. Central government	6	3.0
10. Members of parliament	2	0.7
11. Foreign countries, International agencies	1	0.3
12. Other local government units	1	0.3
13. Professionals	7	2.3
14. Stakeholders	2	0.7
15. Other	8	2.7

●Thailand

(1) Answers from Mayors/ TAOPresidents

POLICY INPUT: The Local Administrative Organization(LAO)'s activities and projects

○When your LAO considers beginning new projects, where and from whom does it find ideas? (Please select the three most important sources from the list below, and rank them by writing 1, 2 or 3 in the space provided.) (Survey: TH13P_Q 1.2)

(Upper : Frequency, Lower : Ratio)

	First	Second	Third
1) President himself	112 24.2	79 17.1	88 19.0
2) Closely-associated person (e.g. Husband, wife, other family members, friends)	2 0.4	3 0.6	7 1.5
3) Local Council Members	17 3.7	124 26.8	133 28.8
4) Residents/ Civil society groups	283 61.3	81 17.5	41 8.9
5) Community organizations (Community councils)	6 1.3	31 6.7	38 8.2
6) Community groups (e.g. Housewives' groups, youth groups, elderly groups)	7 1.5	92 19.9	67 14.5
7) Intellectuals (Researchers,	1	4	9

NGOs)	0.2	0.9	1.9
8) PAO presidents or PAO councillors	1 0.2	2 0.4	6 1.3
9) Other local governments (Thesaban, TAOs)	8 1.7	7 1.5	20 4.3
10) Provincial Governor, District Chief Officer or other officials	2 0.4	9 1.9	18 3.9
11) Others	2 0.4	4 0.9	9 1.9
No answer	21 4.5	26 5.6	26 5.6

Figure 2. If the local council opposes the local residents about project sites, how do you decide? (please choose only one answer.) (Survey: TH13P_Q 1.4)

	Frequency	Ratio
1) You always accept the needs of the local councilors	1	0.2
2) You mostly accept the needs of the local councilors.	21	4.5
3) You mostly accept the needs of the residents.	384	83.1
4) You always accept the needs of the residents	44	9.5
No Answer	12	2.6

ThemeII Behavior of Regents/ Mayors/Presidents of local government concerning budgeting

●Philippines

○If the amount of the revenue including IRA and locally generated revenue of your LGU isn't enough, which of the following did you ask to provide financial support in

the past year? (Besides the normal procedure of forwarding a budget application form to the departments of the national government) Please answer each item. (Survey: PL I_Q 1-4)

	Strong support	Moderate support	Little support	None	Not applicable
Governor	40.3	19.7	13.7	18.7	6.7
Congressmen	52.7	20.7	11.7	14.7	0.0
Senators	11.0	24.7	42.0	20.7	0.0
Secretaries of the related departments	17.7	31.7	26.0	23.3	0.0
President of the Philippines	18.7	18.3	15.3	44.3	0.0
International donor agencies /countries	21.3	22.0	22.0	34.0	0.0
Private sector	30.0	29.0	22.7	22.3	0.0
Central govt agencies excluding departments	1.0	0.0	0.3	0.0	0.0
NGO · PO	0.3	2.7	0.3	0.0	0.0
Overseas residents	0.3	0.3	0.0	0.0	0.0
Schools	0.3	0.3	0.0	0.0	0.0
Media	0.0	0.0	0.3	0.0	0.0

●**Thailand**

Allocation of budget to LAO from the Central Government(Mayors/TAO Presidents)

Table○ Over the past several years, when your LAO was in need of greater budgetary support, from whom did seek help when you tried to obtain the extra funding (in addition to the normal procedure of forwarding a budget application form to the District office and the Provincial office)? (Survey: TH13P_Q 4.3)

	Got support for budget	No support	Did not ask support	No Answer
1) Members of the Provincial Council (So.Jo.)	271 58.7	59 12.8	67 14.5	65 14.1

2) The PAO President	325 70.3	59 12.8	44 9.5	34 7.4
3) Members of Parliament (So.So.)	324 70.1	51 11.0	46 10.0	41 8.9
4) Influential politician belonging to the government party	97 21.0	72 15.6	191 41.3	102 22.1
5) Minister of a related ministry	69 14.9	80 17.3	204 44.2	109 23.6
6) Provincial Governor	223 48.3	69 14.9	97 21.0	73 15.8
7) Director General of Department/Chief of Section	122 26.4	76 16.5	167 36.1	97 21.0
8) Budget Bureau (Pho O)	45 9.7	64 13.9	233 50.4	120 26.0

Theme III Contact and networking of Regents/ Mayors/Presidents of local government with other actors

●Philippines

RELATIONS WITH THE CONSTITUENTS

○How often do you meet the people listed below? (**ONE ANSWER ONLY**) (Survey: PL I_Q 2-1)

	Several Times /week	Once /Week	2 – 3 times /month	Once /month	Several times /year	None
Mga Punong Barangay	46.3	14.0	13.7	19.7	6.0	0.0
Sanggunian members	41.0	34.3	9.7	9.0	5.3	0.0
Members of	17.7	11.3	18.3	25.7	25.0	1.0

NGO						
Members of local PO	16.3	12.7	18.0	26.7	24.3	1.3
Members of civic groups such as Rotary Club etc.	9.3	8.0	12.3	21.3	29.7	18.3
People from business entities	15.0	8.3	14.3	24.0	32.3	5.3
Common residents except NGO, civic group & local PO	60.3	8.0	8.3	7.7	14.7	0.7

○ How often have you attended the meetings hosted by the organizations listed below in last year? (Survey: PL I_Q 2-2)

	Several Times /week	Once /Week	2 – 3 times /month	Once /month	Less than once / month	None
NGO or PO	19.7	10.7	20.3	24.0	20.3	3.0
Businessmen's Group	12.7	5.7	14.3	28.3	28.0	8.3

NETWORK WITH OTHER GOVERNMENT INSTITUTIONS

○ How often did you meet the people listed below in the past year? (Survey: PL I_Q 3-1)

	Not Applicable	More than Once / Month	Once / Month	Several times /Year	Once /Year	None
The Governor	6.0	32.3	21.3	27.7	2.7	9.0

(except the LGUs in NCR)						
The Congressman from my district	0.0	37.7	16.7	30.3	3.0	11.3
Party list congressmen	0.0	6.7	9.7	27.0	22.0	33.3
Senators	0.0	3.3	4.3	33.0	28.3	29.3
Under secretaries of the departments	0.3	2.7	5.0	34.0	29.0	28.0
Secretaries of the departments	0.3	2.7	5.7	34.3	28.7	27.3
The President	0.3	0.0	1.0	21.0	27.7	49.0

●Indonesia (Answer from MPDO)

RELATIONSHIP WITH THE CONSTITUENTS

○How often did you communicate with the people from NGOs / POs during the last month? (Survey: PL II_Q 2-1)

○How often do you communicate with business people during the month in average (since assuming the planning officer)? (Survey: PL II_Q 2-3)

None
Once a month
2 to 3 times a month
Once a week or more
Other (Less than once a month)

Relations with Other Governmental Agencies

○How often do you meet the people listed below last year?(Survey: PL II_Q 3-1)

	More than	Once	Several	Once or	Not at
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	once /month	/month	times /year	Twice a year	all
Officers of other cities / municipalities	19.3	39.0	27.0	12.7	2.0
Planning officer of the province) (for the LGUs outside of NCR only	14.3	41.7	24.3	12.3	2.3
Other officers of the province) (for the LGUs outside of NCR only)	10.3	22.3	37.0	23.3	2.7
Regional officers of the departments)	8.7	14.0	37.0	36.0	4.3
Directors of the departments	6.7	13.3	27.0	36.0	16.7
Undersecretaries of the departments	1.7	2.3	9.0	34.0	52.7
Secretaries of the departments	1.3	1.7	10.7	31.0	55.0

○ *How often do you communicate with the people listed below?* (Survey: PL II_Q 3-3)

	Everyday	Several times a week	Once a week	Two to three times a month	Once a month	Less than once a month
Punong barangay	17.0	25.7	11.7	17.3	21.3	6.7
Sanggunian	16.3	22.0	24.0	16.7	12.3	7.3

members						
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●Thailand

(1)Answers from Mayors/ TAOPresidents

○Do any public officials visit the LAO office for consultation on LAO activities?

(Please answer every question) (Survey: TH13P_Q 1.3)

	Frequency of visits						No answer
	More than once a week	Several times a month	Once a month	Once in a 2-3 months	Once or twice a year	Never	
1. Teachers/ Professors from schools	51 11.0	146 31.6	106 22.9	104 22.5	44 9.5	3 0.6	8 1.7
2. Public Health Officials	51 11.0	162 35.1	116 25.1	83 18.0	37 8.0	3 0.6	10 2.2
3. Local Council Members of your LAO	221 47.8	173 37.4	38 8.2	16 3.5	5 1.1	2 0.4	7 1.5
4. Business persons	21 4.5	61 13.2	55 11.9	126 27.3	114 24.7	65 14.1	20 4.3
5. President of other LAOs	19 4.1	61 13.2	99 21.4	137 29.7	102 22.1	35 7.6	9 1.9
6. Clerk of other LAOs	20 4.3	46 10.0	70 15.2	134 29.0	125 27.1	57 12.3	10 2.2
7. Kamnan, Village Head man	106 22.9	200 43.3	76 16.5	38 8.2	23 5.0	11 2.4	8 1.7
8. NGO members	14 3.0	67 14.5	83 18.0	104 22.5	101 21.9	71 15.4	22 4.8
9. People's	77	200	98	50	27	3	7

Group (e.g. Housewife groups, female groups, elderly groups)	16.7	43.3	21.2	10.8	5.8	0.6	1.5
10. Officials from Department of Local Administration	10 2.2	56 12.1	82 17.7	113 24.5	117 25.3	75 16.2	9 1.9
11. Chief district officer or assistant district officers	18 3.9	61 13.2	96 20.8	140 30.3	110 23.8	29 6.3	8 1.7
12. Provincial Governor (Vice Governor)/ Deputy Governor	4 0.9	18 3.9	33 7.1	58 12.6	152 32.9	185 40.0	12 2.6
13. Members of Parliament	5 1.1	24 5.2	48 10.4	106 22.9	142 30.7	130 28.1	7 1.5
14. Officials from Social Development and Human Security Ministry	6 1.3	32 6.9	74 16.0	127 27.5	157 34.0	60 13.0	6 1.3
15. Officials from Community Development Department (CDD)	11 2.4	66 14.3	111 24.0	144 31.2	100 21.6	23 5.0	7 1.5

(2)Answers from District Clerk

(Relations between LAOs and Central and Local Administrations)

○Do any people visit the LAO office for consultation on LAO activities? (Upper : Frequency, Lower : Ratio) (Survey: TH13D_Q 4.1)

	Frequencies of visit					No Answer
	Several times a month	Once a month	Once in 2-3 months	Once or twice a Year	No call for meetings	
1. Teachers/ Professors from schools	112 24.2	95 20.6	182 39.4	53 11.5	5 1.1	15 3.2
2. NGOs						
3. Business Persons	31 6.7	45 9.7	106 22.9	161 34.8	80 17.3	39 8.4
4. Public Health Officials						
5. PAO President	51	55	118	131	75	32
6. PAO Clerk	11.0	11.9	25.5	28.4	16.2	6.9
7. Presidents of other LAOs	120 26.0	135 29.2	144 31.2	42 9.1	6 1.3	15 3.2
8. Clerks of other LAOs						
9. Kamnan, Village Headmen	7 1.5	20 4.3	64 13.9	128 27.7	208 45.0	35 7.6
10. Officials from Department of Local Administration						
11. Chief district officers or	7 1.5	18 3.9	59 12.8	96 20.8	246 53.2	36 7.8

assistant chief district officers						
12. Provincial Governor (Vice Governor) /deputy governor						
13. Members of Parliament	35 7.6	63 13.6	148 32.0	146 31.6	45 9.7	25 5.4
14. Officials from Social Development and Human Security Ministry						
15. Officials from Community Development	53 11.5	75 16.2	168 36.4	131 28.4	15 3.2	20 4.3
1. Teachers/ Professors from schools						
2. NGOs	262	92	63	18	9	18
3. Business Persons	56.7	19.9	13.6	3.9	1.9	3.9
4. Public Health Officials	46 10.0	58 12.6	109 23.6	145 31.4	80 17.3	24 5.2
5. PAO President						
6. PAO Clerk	45	94	147	140	18	18
7. Presidents of other LAOs	9.7	20.3	31.8	30.3	3.9	3.9
8. Clerks of other LAOs	5 1.1	15 3.2	55 11.9	171 37.0	192 41.6	24 5.2
9. Kamnan, Village Headmen						
10. Officials from Department of	14 3.0	21 4.5	75 16.2	173 37.4	158 34.2	21 4.5

Local Administration						
11. Chief district officers or assistant chief district officers						
12. Provincial Governor (Vice Governor) /deputy governor	25 5.4	42 9.1	90 19.5	166 35.9	104 22.5	35 7.6
13. Members of Parliament						
14. Officials from Social Development and Human Security Ministry	47 10.2	86 18.6	158 34.2	121 26.2	31 6.7	19 4.1

ThemeIV Attitude pf Regents/ Mayors/Presidents of local government concerning election

●Thailand

Figure 3. In your view, how important are the following factors to winning the LAO Presidential Election? (Please answer every question) (Upper : Frequency, Lower : Ration) (Survey: TH13P_Q 3.1)

	4) Very important	3) A little important	2) Not important	1) Not sure	No answer
1) The candidate's policies	352 76.2	93 20.1	13 2.8	1 0.2	3 0.6
2) People's perceptions of the candidate's personality	440 95.2	18 3.9	2 0.4	0 0.0	2 0.4
3) Team work among	357	87	10	5	3

executive members	77.3	18.8	2.2	1.1	0.6
4) System of election canvassers	246 53.2	154 33.3	38 8.2	17 3.7	7 1.5
5) Support from national-level politicians (MP and Senators)	132 28.6	201 43.5	102 22.1	24 5.2	3 0.6
6) Budget support from political parties	106 22.9	207 44.8	116 25.1	28 6.1	5 1.1
7) Personal network (e.g., husband, wife, relatives, friends)	349 75.5	89 19.3	16 3.5	3 0.6	5 1.1
8) Response to the needs of poor people (various interest groups)	273 59.1	130 28.1	44 9.5	12 2.6	3 0.6